



PATENT

2163  
CFWIN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Balazs Kralik, Michael Goldbach, Paul Dagum  
Assignee: Rapt, Inc.  
Title: Method And Apparatus For Estimation Of Component Gating And Shortage  
Risk In Manufacturing Operations  
Serial No.: 09/942,249 Filed: August 29, 2001  
Examiner: Unassigned Group Art Unit: 2163  
Docket No.: RAP0008US

Austin, Texas  
April 25, 2005

MAIL STOP AMENDMENT  
COMMISSIONER FOR PATENTS  
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ALEXANDRIA, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR § 1.97(b)**

Dear Sir:

Pursuant to 37 CFR § 1.56, § 1.97 and § 1.98, the documents listed on the accompanying PTO Form-1449 are called to the attention of the Examiner for the above patent application. Pursuant to 1276 OG 55, wherein the USPTO waived the requirement under 37 CFR 1.98 (a)(2)(i) for submitting a copy of each cited U.S. patent and each U.S. patent application publication, no copies of U.S. patents or U.S. patent applications are enclosed. Copies of any listed foreign patent documents, pending non-published U.S. patent applications, and non-patent literature are enclosed.

Citation of these documents shall not be construed as:

1. an admission that the documents are necessarily prior art with respect to the instant invention;
2. a representation that a search has been made; or
3. an admission that the information cited herein is, or is considered to be, material to patentability as defined in § 1.56(b).

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P. O. Box 1450, Alexandria VA 22313-1450, on April 25, 2005.

Attorney for Applicant(s)

Date of Signature

Respectfully submitted,

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U.S. Department of Commerce, Patent and Trademark Office	Atty Docket No.	Serial No.
	RAP0008US	09/942,249
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Applicant(s)
(Use several sheets if necessary)		Balazs Kralik, et al.
Filing Date		Group
August 29, 2001		2163

## U.S. Patent Documents

*Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
	AA					
	AB					
	AC					
	AD					
	AE					
	AF					
	AG					
	AH					

## Foreign Patent Documents

							Translation	
	Document	Date	Country	Class	Subclass		Yes	No
	AI							
	AJ							
	AK							

## OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

AL	BASS, Frank M., Purdue University, <i>A New Product Growth For Model Consumer Durables</i> , Management Science, Vol. 15, No. 5, January 1969, pp. 216-227.
AM	DAGUM, Camilo, Econometric Research Program Princeton University, <i>Structural Permanence: Its Role in the Analysis of Structural Dualisms and Dependences and for Prediction and Decision Purposes</i> , The Economics of Structural Change Volume 1, The International Library of Critical Writings in Economics, An Elgar Reference Collection, 1969, pp. 211-235.
AN	MAHAJAN, Vijay, MULLER, Eitan and BASS, Frank M., <i>New-Product Diffusion Models</i> , Chapter 8, Handbooks in OR & MS, Vol. 5, J. Eliashberg and G.L. Lilien, Eds., 1993, pp. 349-408.
AO	HANSSENS, Dominique M. and LEONARD, J. Parsons, <i>Econometric and Time-Series Market Response Models</i> , Chapter 9, Handbooks in OR & MS, Vol. 5, J. Eliashberg and G.L. Lilien, Eds., 1993, pp. 409-464.

Examiner

Date Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.